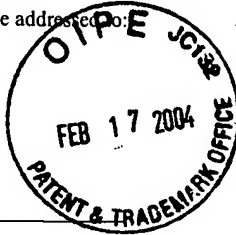


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PATENT  
Attorney Docket No.: 021751-001310US

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



On 2.12.04

TOWNSEND and TOWNSEND and CREW LLP

By: Edward Masinas

Edward Masinas

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Arun Rao

Application No.: 10/699,561

Filed: October 30, 2003

For: COLOR MANAGEMENT  
SYSTEM

Examiner: Amir Alavi

Art Unit: 2621

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

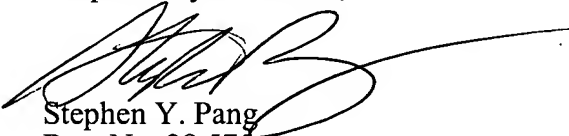
Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/182,070, filed 10/29/1998 (Attorney Docket No. 021751-001300US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Stephen Y. Pang  
Reg. No. 38,575

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60133427 v1



Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/699,561
		Filing Date	October 30, 2003
		First Named Inventor	Rao, Arun
		Art Unit	2621
		Examiner Name	Amir Alavi
Sheet 1 of 2	Attorney Docket Number	021751-001310US	

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-5,754,184	05/1998	Ring et al.	
	2	US-5,537,157	07/1996	Washino et al.	
	3	US-5,528,339	06/1996	Buhr et al.	
	4	US-5,234,414	09/1993	Dairymple et al.	
	5	US-5,272,518	12/1993	Vincent	
	6	US-5,206,918	04/1993	Levene	
	7	US4,979,032-	12/1990	Alessi et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	8	EP	0 637 731 A1		02/1995	Europe		<input type="checkbox"/>
	9	WO	95/31794		11/1995	PCT		<input type="checkbox"/>
	10	EP	0 814 603 a2		12/1997	Europe		<input type="checkbox"/>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

60133427 v1



Substitute for form 1449B/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number	10/699,561	
			Filing Date	October 30, 2003	
			First Named Inventor	Rao, Arun	
			Art Unit	2621	
			Examiner Name	Amir Alavi	
Sheet	2	of	2	Attorney Docket Number	021751-001310US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	11	Foley, et al.; "Achromatic and Colored Light," <i>Computer Graphics: Principles and Practice</i> ; Second Edition, Chapter 13, (1990) pp. 563-604.	
	12	Gennetten, "RGB to CMYK Conversion Using 3-D Barycentric Interpolation," SPIE Conference on "Device-Independent Color Imaging and Imaging Systems Integration," (1-3 February 1993) San Jose, California, Vol. 1909, pp. 116-126.	
	13	Gentile, et al.; "A Comparison of Techniques for Color Gamut Mismatch Compensation"; <i>Journal of Imaging Technology</i> ; (October 1990), Vol. 16, No. 5; pp. 176-181.	
	14	Granger, "Achieving Device Independence in Color Through Appearance Modeling," SPIE Conference on "Device-Independent Color Imaging and Imaging systems Integration," (1-3 February 1993) San Jose, California; Vol. 1909; pp. 15-18.	
	15	Guth, "Model for Color Vision and Light Adaptation," <i>Journal of the Optical Society of America</i> ; Vol. 8, No. 6 (June 1991) pp. 976-993.	
	16	Has, et al.; "Color Management: Current Practice and the Adoption of a New Standard," <i>Advances in Printing Science and Technology</i> , Vol. 23, pp. 51-58, (1997). (Conference proceedings of the International Association of Research Institutes for the Graphic Arts Industry, held in Paris, September 1995.)	
	17	Has, et al.; "Current Practice and the Adoption of a New Standard," Proceedings of the Commission Internationale de l'Eclairage (CIE), Expert Symposium on Colour Standards for Image Technology; (held in Vienna, March 1996) Wien, CIE, (1998).	
	18	Has, "Color Management - Current Approaches, Standards and Future Perspectives"; Proceedings of IS&T's Eleventh International Congress on Advances in Non-Impact Printing Technologies, Hilton Head, South Carolina; pp. 441-445.	
	18	Hunt, "Model of Color Vision for Predicting the Appearance of Colors Under Different Viewing Conditions"; SPIE Conference on "Device-Independent Color Imaging and Imaging systems Integration," (1-3 February 1993) San Jose, California; Vol. 1909, pp. 12-14	
	19	Jin-Seo et al., "Development of Color Management System Prototype," <i>IEEE</i> (1998) pp. 2539-2532.	
	20	MacDonald, "Developments in Colour Management Systems," <i>Displays</i> , Vol. 16, No. 4, (1996) pp. 203-211.	
	21	Murch, et al.; "Apple Color Management System (ColorSync™); SPIE Conference on "Device-Independent Color Imaging and Imaging systems Integration," (1-3 February 1993) San Jose, California; Vol. 1909, pp. 184-188.	
	22	PCT Search Report in Corresponding PCT Application PCT/US99/23981.	
	23	Ramamurthy et al., "Achieving Color Match Between Scanner, Monitor, and Film: A Color Management Implementation for Feature Animation," <i>SMPTE Journal</i> , (June 1999) pp. 363-373.	

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Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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